

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

Samuel A. Ramirez & Co., Inc.			
Name of Financial Institution			
61 Broadway, Suite 2924	New York	NY	10006
Street Address			
Vincent Mazzaro, Chief Operating Officer	City	State	Zip Code
Contact Person Name and Title			
212-248-0525	vincent.mazzaro@ramirezco.com		
Telephone No.			
	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Vincent Mazzaro		6/12/2018
Print Name, Title	Signature	Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
Asians for Miracle Marrow Matches	Education & Literacy	\$1,000
Catholic Education Foundation	Education & Literacy	\$2,800
Lincoln Heights Tutorial Program	Human Rights & Pubic Advocacy	\$1,000
Loyola Marymount University	Voter Rights	\$15,000
Rotary Club of Los Angeles	Homelessness	\$10,500
Southwest Voter Registration Education Project	Voter Rights	\$9,000
The United Way of Greater LA	Human Rights & Pubic Advocacy	\$2,500
Union Rescue Mission	Homelessness	\$500
		\$500

Additional Response to Questions #2

The Firm has not provided any scholarship awards to residents of the City.

Additional Response to Question #3

The Firm does not maintain an official policy. As a minority owned business, we are fully committed to the utilization of minority owned businesses in our business practices.